

Design & Appraisal Stage Quality Assurance Report

Form Status: In Progress

Overall Rating:	
Decision:	
Portfolio/Project Number:	00130715
Portfolio/Project Title:	PC DRIS IXIL II
Portfolio/Project Date:	2022-08-20 / 2027-08-19

Strategic**Quality Rating:**

1. Does the project specify how it will contribute to higher level change through linkage to the programme's Theory of Change?

- 3: *The project is clearly linked to the programme's theory of change. It has an explicit change pathway that explains how the project will contribute to outcome level change and why the project's strategy will likely lead to this change. This analysis is backed by credible evidence of what works effectively in this context and includes assumptions and risks.*
- 2: The project is clearly linked to the programme's theory of change. It has a change pathway that explains how the project will contribute to outcome-level change and why the project strategy will likely lead to this change.
- 1: The project document may describe in generic terms how the project will contribute to development results, without an explicit link to the programme's theory of change.

Evidence:

El PRODOC muestra una Teoría de Cambio explícita (TdC) basada en gobernanza a nivel local para la resiliencia. Tiene claramente definida la población objetivo y los cambios deseados, así mismo las estrategias, actividades y recursos. Se han identificado las precondiciones e incorporado lecciones aprendidas y buenas prácticas que conducirán al nivel de cambio deseado.

El proyecto se encuentra alineado con el Marco estratégico de Cooperación de las Naciones Unidas para el Desarrollo Sostenible, Guatemala 2020 -2025 y el Programa País del PNUD 2022-2025 con el "Efecto 3: para 2025, el Estado de Guatemala reforzará sus políticas, estrategias y programas que fomentan la mitigación y la adaptación al cambio climático, la gobernanza de los territorios, los recursos naturales y los ecosistemas, de forma que se mejore la gestión integral de los riesgos medioambientales, climáticos, sanitarios, hidrológicos y geodinámicos, con un enfoque integrado.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	PRODOCPNJDixiIF2DRIS_14336_101 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PRODOCPNJDixiIF2DRIS_14336_101.docx)	oscar.grajeda@undp.org	10/6/2022 2:07:00 AM

2. Is the project aligned with the UNDP Strategic Plan?

- 3: The project responds to at least one of the development settings as specified in the Strategic Plan¹ and adapts at least one Signature Solution². The project's RRF includes all the relevant SP output indicators. (all must be true)
- 2: The project responds to at least one of the development settings as specified in the Strategic Plan⁴. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)
- 1: The project responds to a partner's identified need, but this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

El Programa Conjunto responde directamente a la solución de cambio " Fomentar la resiliencia: fortalecer la capacidad de los países y las instituciones para prevenir, mitigar y responder a las crisis, los conflictos, los desastres naturales, y las perturbaciones económicas, sociales y ambientales" y a la solución emblemática " Resiliencia Ofrecer apoyo a los países y las comunidades para que fomenten su resiliencia ante diversas perturbaciones y crisis, incluidos los conflictos, el cambio climático, las catástrofes y las epidemias".

Asimismo, se incluye la vinculación al output del Plan Estratégico en la matriz de resultados del PRODOC. Documento cargado en la pregunta 1.

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No documents available.			

3. Is the project linked to the programme outputs? (i.e., UNDAF Results Group Workplan/CPD, RPD or Strategic Plan IRRF for global projects/strategic interventions not part of a programme)

- Yes
- No

Evidence:

El proyecto se encuentra alineado con el Marco estratégico de Cooperación de las Naciones Unidas para el Desarrollo Sostenible, Guatemala 2020 -2025 y el Programa País del PNUD 2022-2025 con el “Efecto 3: para 2025, el Estado de Guatemala reforzará sus políticas, estrategias y programas que fomentan la mitigación y la adaptación al cambio climático, la gobernanza de los territorios, los recursos naturales y los ecosistemas, de forma que se mejore la gestión integral de los riesgos medioambientales, climáticos, sanitarios, hidrológicos y geodinámicos, con un enfoque que integrado.

El proyecto impulsará dos estrategias, las cuales se refuerzan mutuamente, el empoderamiento y la protección, orientadas a conectar a las mujeres, a los pueblos indígenas, a los jóvenes y la población en pobreza multidimensional a través de las organizaciones comunitarias con la institucionalidad presente en el territorio para que accedan a oportunidades que les permitan mejorar el acceso a sus derechos.

Esto también se visibiliza en el Marco de resultados, del PRODOC. Cargado en la pregunta 1.

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No documents available.			

Relevant**Quality Rating:**

4. Do the project target groups leave furthest behind?

- 3: *The target groups are clearly specified, prioritising discriminated, and marginalized groups left furthest behind, identified through a rigorous process based on evidence.*
- 2: The target groups are clearly specified, prioritizing groups left furthest behind.
- 1: The target groups are not clearly specified.

Evidence:

Se atenderá y dará voz a los grupos más vulnerables y olvidados, tal como se establece en UNSDCF Guatemala 2020 – 2025 (especialmente a las mujeres, las juventudes y pueblos indígenas). (Ver Grupos objetivos páginas 20 del PRODOC). Documento cargado en la pregunta 1.

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No documents available.			

5. Have knowledge, good practices, and past lessons learned of UNDP and others informed the project design?

- 3: Knowledge and lessons learned backed by credible evidence from sources such as evaluation, corporate policies/strategies, and/or monitoring have been explicitly used, with appropriate referencing, to justify the approach used by the project.
- 2: The project design mentions knowledge and lessons learned backed by evidence/sources but have not been used to justify the approach selected.
- 1: There is little, or no mention of knowledge and lessons learned informing the project design. Any references made are anecdotal and not backed by evidence.

Evidence:

El PRODOC incluye lecciones aprendidas en fortalecimiento institucional, alianzas estratégicas, gobernanza local, mecanismos de coordinación institucional, y resiliencia, entre otras. Con base a experiencias previas, se han tomado las lecciones aprendidas del Informe Final de la Evaluación Externa del "Programa Conjunto de Desarrollo Rural Integral en Cinco Municipios de la Cuenca Alta del Río Cuilco en el Departamento de San Marcos". El Programa ha insertado catorce (14) lecciones aprendidas que se consideran relevantes para la implementación del componente a cargo de PNUD en el marco del PC. (Ver sección Lecciones aprendidas" en página 49 del informe de evaluación Final Externa del PC indicado, además, y sección "Conocimiento" Pág. 22 del PRODOC).

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No documents available.			

6. Does UNDP have a clear advantage to engage in the role envisioned by the project vis-à-vis national / regional / global partners and other actors?

- 3: *An analysis has been conducted on the role of other partners in the area where the project intends to work, and credible evidence supports the proposed engagement of UNDP and partners through the project, including identification of potential funding partners. It is clear how results achieved by partners will complement the project's intended results and a communication strategy is in place to communicate results and raise visibility vis-à-vis key partners. Options for south-south and triangular cooperation have been considered, as appropriate. (all must be true)*
- 2: Some analysis has been conducted on the role of other partners in the area where the project intends to work, and relatively limited evidence supports the proposed engagement of and division of labour between UNDP and partners through the project, with unclear funding and communications strategies or plans.
- 1: No clear analysis has been conducted on the role of other partners in the area that the project intends to work. There is risk that the project overlaps and/or does not coordinate with partners' interventions in this area. Options for south-south and triangular cooperation have not been considered, despite its potential relevance.

Evidence:

El PNUD cuenta con una reconocida experiencia en el rol que desempeñará en el marco del Programa Conjunto, esto se sustenta también con avales de municipalidades y de la institucionalidad a nivel nacional.

La evaluación del programa para el país concluyó que el PNUD responde de forma satisfactoria a las prioridades de desarrollo nacionales y es un asociado imparcial y de confianza, cuya capacidad técnica permite la creación de espacios de diálogo entre diferentes sectores y múltiples partes interesadas. El PNUD y el MPTF también cuentan con plataformas de comunicación de resultados que contribuyen a la rendición de cuentas y la transparencia, lo cual logró incrementar los niveles de confianza entre sus asociados. Se realizarán intercambios de experiencias sobre prácticas exitosas en empoderamiento económico de la mujer y la juventud en el marco de la cooperación Sur-Sur y triangular.

Respecto a los socios, las fortalezas también se evidencian en el proceso de selección de asociados en la implementación. (ver acta de evaluación de capacidades de organizaciones socias en adjunto).

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Anexo4.Evaluaciondecapacidades_14336_106 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo4.Evaluaciondecapacidades_14336_106.pdf)	oscar.grajeda@undp.org	10/6/2022 2:12:00 AM

Principled**Quality Rating:**

7. Does the project apply a human rights-based approach?

- 3: *The project is guided by human rights and incorporates the principles of accountability, meaningful participation, and non-discrimination in the project's strategy. The project upholds the relevant international and national laws and standards. Any potential adverse impacts on enjoyment of human rights were rigorously identified and assessed as relevant, with appropriate mitigation and management measures incorporated into project design and budget. (all must be true)*
- 2: The project is guided by human rights by prioritizing accountability, meaningful participation and non-discrimination. Potential adverse impacts on enjoyment of human rights were identified and assessed as relevant, and appropriate mitigation and management measures incorporated into the project design and budget. (both must be true)
- 1: No evidence that the project is guided by human rights. Limited or no evidence that potential adverse impacts on enjoyment of human rights were considered.

Evidence:

El proyecto está operacionalmente dirigido a promover y proteger los derechos humanos tomando como referente los estándares internacionales. En los diferentes niveles de gobernanza del proyecto están representados todos los involucrados en la operación del proyecto lo que posibilitará la rendición de cuentas de forma continua durante el ciclo del proyecto. En el contexto del diseño del proyecto se realizaron consultas con diferentes actores y sectores, identificando los riesgos legales, socioambientales y políticos incorporando las correspondientes medidas para la "acción sin daño".

Este proyecto será implementado bajo la modalidad DIM, cuya base legal se fundamenta en el acuerdo suscrito entre el PNUD y el gobierno el 20 de Julio de 1998 y aprobado por el Congreso de la República de Guatemala, mediante Decreto número 17/2000 de fecha 29 de marzo de 2000 y el Documento de Programa de País.

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No documents available.

8. Does the project use gender analysis in the project design?

- 3: A participatory gender analysis has been conducted and results from this gender analysis inform the development challenge, strategy and expected results sections of the project document. Outputs and indicators of the results framework include explicit references to gender equality, and specific indicators measure and monitor results to ensure women are fully benefitting from the project. (all must be true)
- 2: A basic gender analysis has been carried out and results from this analysis are scattered (i.e., fragmented and not consistent) across the development challenge and strategy sections of the project document. The results framework may include some gender sensitive outputs and/or activities but gender inequalities are not consistently integrated across each output. (all must be true)
- 1: The project design may or may not mention information and/or data on the differential impact of the project's development situation on gender relations, women and men, but the gender inequalities have not been clearly identified and reflected in the project document.

Evidence:

La estrategia para la igualdad de género (ver PRODOC) se incorporó desde el diseño del Programa, mediante el análisis de las políticas institucionales de género de las agencias del SNU participantes, de las instituciones gubernamentales y del país donante. Asimismo, se incorporó el enfoque de género de forma de contribuir a disminuir las desigualdades de género a través de productos e indicadores específicos. Uno de los seis pilares establecidos en la teoría del cambio, está orientados a disminuir las desigualdades de género (Ver PRODOC) que se especifican en el marco de resultados.

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No documents available.			

9. Did the project support the resilience and sustainability of societies and/or ecosystems?

- 3: Credible evidence that the project addresses sustainability and resilience dimensions of development challenges, which are integrated in the project strategy and design. The project reflects the interconnections between the social, economic and environmental dimensions of sustainable development. Relevant shocks, hazards and adverse social and environmental impacts have been identified and rigorously assessed with appropriate management and mitigation measures incorporated into project design and budget. (all must be true)
- 2: The project design integrates sustainability and resilience dimensions of development challenges. Relevant shocks, hazards and adverse social and environmental impacts have been identified and assessed, and relevant management and mitigation measures incorporated into project design and budget. (both must be true)
- 1: Sustainability and resilience dimensions and impacts were not adequately considered.

Evidence:

La sostenibilidad y la resiliencia están asociada a la doble estrategia del proyecto empoderamiento y protección. La estrategia de salida se basa en la transferencia y adopción de variables por parte de los garantes de la protección. Esto se evidencia en el análisis de situación inicial, la teoría de cambio y la matriz de resultados (PRODOC cargado en la pregunta 1.)

El Proyecto está orientado a fortalecer la gobernanza local de forma que se mejore la gestión integral de los riesgos medioambientales, climáticos, sanitarios, hidrológicos y geodinámicos, con un enfoque integral, multisectorial y multinivel a través del sistema de coordinadoras CONRED y SISCODE. Se trabajará para generar resiliencia a las perturbaciones y crisis, cambio climático y desastres, privilegiando el fortalecimiento de los diferentes mecanismos para gestionar el riesgo.

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No documents available.			

10. Has the Social and Environmental Screening Procedure (SESP) been conducted to identify potential social and environmental impacts and risks? The SESP is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of reports, coordination of events, trainings, workshops, meetings, conferences and/or communication materials and information dissemination. [if yes, upload the completed checklist. If SESP is not required, provide the reason for the exemption in the evidence section.]

- Yes
- No
- SESP not required because project consists solely of (Select all exemption criteria that apply)
- 1: Preparation and dissemination of reports, documents and communication materials
 - 2: Organization of an event, workshop, training
 - 3: Strengthening capacities of partners to participate in international negotiations and conferences
 - 4: Partnership coordination (including UN coordination) and management of networks
 - 5: Global/regional projects with no country-level activities(e.g.activities such as knowledge management, inter-governmental processes)
 - 6: UNDP serves as Administrative Agent
 - 7: Development Effectiveness projects and Institutional Effectiveness projects

Evidence:

Se realizó el SESP con base a las directrices, realizando los siguientes pasos: i) responder a las preguntas del checklist de verificación del anexo 1; ii) analizar los posibles riesgos vinculados a las respuestas afirmativas del anexo 1; y iii) establecer riesgos, nivel de riesgo, probabilidad y medidas de mitigación.

La caracterización general del Programa Conjunto de riesgo social y ambiental es bajo. La implementación del Programa Conjunto no conllevaría incrementar los riesgos sociales y ambientales en la zona. Más bien busca reducir los riesgos y preparar de mejor forma a la población objetivo, así, como el fortalecimiento de las instituciones locales para poder cumplir su función de ser garantes de derechos.

El proyecto se ejecuta en el marco de la premisa de la "acción sin daño"

List of Uploaded Documents

#	File Name	Risk Category	Risk Requirements	Document Status	Modified By	Modified On
1	Anexo2SESPDRIS_14336_110 (http://intranet.undp.org/apps/ProjectQA/Forms/Documents/Anexo2SESPDRIS_14336_110.docx)	Low	Community Health, Safety and Security; Indigenous Peoples	Draft	oscar.grajeda@undp.org	10/6/2022 2:09:00 AM

Management & Monitoring

Quality Rating:

11. Does the project have a strong results framework?

- 3: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators that measure the key expected development changes, each with credible data sources and populated baselines and targets, including gender sensitive, target group focused, sex-disaggregated indicators where appropriate. (all must be true)
- 2: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators, but baselines, targets and data sources may not yet be fully specified. Some use of target group focused, sex-disaggregated indicators, as appropriate. (all must be true)
- 1: The project's selection of outputs and activities are not at an appropriate level; outputs are not accompanied by SMART, results-oriented indicators that measure the expected change and have not been populated with baselines and targets; data sources are not specified, and/or no gender sensitive, sex-disaggregation of indicators. (if any is true)

Evidence:

Marco de resultados del PRODOC (documento cargado en la pregunta 1).

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No documents available.			

12. Is the project's governance mechanism clearly defined in the project document, including composition of the project board?

- 3: *The project's governance mechanism is fully defined. Individuals have been specified for each position in the governance mechanism (especially all members of the project board.) Project Board members have agreed on their roles and responsibilities as specified in the terms of reference. The ToR of the project board has been attached to the project document. (all must be true)*
- 2: The project's governance mechanism is defined; specific institutions are noted as holding key governance roles, but individuals may not have been specified yet. The project document lists the most important responsibilities of the project board, project director/manager and quality assurance roles. (all must be true)
- 1: The project's governance mechanism is loosely defined in the project document, only mentioning key roles that will need to be filled at a later date. No information on the responsibilities of key positions in the governance mechanism is provided.

Evidence:

Los arreglos de gestión y gobernanza del proyecto (CDN, CGP y CIT) se encuentran claramente definidos en el PRODOC (Sección 8, del PRODOC). e incluye: integrantes, cargos, funciones y responsabilidades Documento cargados en las preguntas 1 y 9.

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No documents available.			

13. Have the project risks been identified with clear plans stated to manage and mitigate each risk?

- 3: Project risks related to the achievement of results are fully described in the project risk log, based on comprehensive analysis drawing on the programme's theory of change, Social and Environmental Standards and screening, situation analysis, capacity assessments and other analysis such as funding potential and reputational risk. Risks have been identified through a consultative process with key internal and external stakeholders, including consultation with the UNDP Security Office as required. Clear and complete plan in place to manage and mitigate each risk, including security risks, reflected in project budgeting and monitoring plans. (both must be true)
- 2: Project risks related to the achievement of results are identified in the initial project risk log based on a minimum level of analysis and consultation, with mitigation measures identified for each risk.
- 1: Some risks may be identified in the initial project risk log, but no evidence of consultation or analysis and no clear risk mitigation measures identified. This option is also selected if risks are not clearly identified, no initial risk log is included with the project document and/or no security risk management process has taken place for the project.

Evidence:

Los riesgos y sus respectivas medidas de mitigación se han analizado desde la estrategia y la teoría de cambio del Programa Conjunto. Asimismo, en la sección de "Riesgos y supuestos" del PRODOC se establece un claro análisis con base al SESP (documento cargado en la pregunta 10) y sus respectivas medidas de mitigación (VER PRODOC).

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No documents available.			

Efficient

Quality Rating:

14. Have specific measures for ensuring cost-efficient use of resources been explicitly mentioned as part of the project design? This can include, for example:

- i) Using the theory of change analysis to explore different options of achieving the maximum results with the resources available.
- ii) Using a portfolio management approach to improve cost effectiveness through synergies with other interventions.
- iii) Through joint operations (e.g., monitoring or procurement) with other partners.
- iv) Sharing resources or coordinating delivery with other projects.
- v) Using innovative approaches and technologies to reduce the cost of service delivery or other types of interventions.

- Yes
 No

Evidence:

La teoría de cambio del proyecto establece claramente los efectos deseados, los cuales se han interiorizado en los planes multianual y anual con el correspondiente presupuesto por categorías de gasto. Ver PRODOC (cargado en pregunta 1) y presupuesto multianual.

El proyecto así mismo contempla el desarrollo de operaciones conjuntas con las contrapartes nacionales, gobiernos locales y otras agencias del SNU, tales como monitoreo y cofinanciamiento de intervenciones.

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No documents available.			

15. Is the budget justified and supported with valid estimates?

- 3: *The project's budget is at the activity level with funding sources, and is specified for the duration of the project period in a multi-year budget. Realistic resource mobilisation plans are in place to fill unfunded components. Costs are supported with valid estimates using benchmarks from similar projects or activities. Cost implications from inflation and foreign exchange exposure have been estimated and incorporated in the budget. Adequate costs for monitoring, evaluation, communications and security have been incorporated.*
- 2: The project's budget is at the activity level with funding sources, when possible, and is specified for the duration of the project in a multi-year budget, but no funding plan is in place. Costs are supported with valid estimates based on prevailing rates.
- 1: The project's budget is not specified at the activity level, and/or may not be captured in a multi-year budget.

Evidence:

El Proyecto cuenta con un presupuesto multianual con resultados y actividades, así como con sus respectivas líneas de financiamiento (ver PRODOC y presupuestos anuales en Atlas). Este Proyecto es financiado por ASDI que contribuirán con la totalidad del financiamiento PRODOC cargado en la pregunta 1.

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No documents available.			

16. Is the Country Office / Regional Hub / Global Project fully recovering the costs involved with project implementation?

- 3: *The budget fully covers all project costs that are attributable to the project, including programme management and development effectiveness services related to strategic country programme planning, quality assurance, pipeline development, policy advocacy services, finance, procurement, human resources, administration, issuance of contracts, security, travel, assets, general services, information and communications based on full costing in accordance with prevailing UNDP policies (i.e., UPL, LPL.)*
- 2: The budget covers significant project costs that are attributable to the project based on prevailing UNDP policies (i.e., UPL, LPL) as relevant.
- 1: The budget does not adequately cover project costs that are attributable to the project, and UNDP is cross-subsidizing the project.

Evidence:

El presupuesto cubre la totalidad de los costos directos e indirectos del programa (ver PRODOC y presupuestos anuales en Atlas). PRODOC cargado en la pregunta 1 y presupuesto multianual en los anexos.

Se estará analizando a detalle la nueva política de recuperación de costos del PNUD, para hacer los ajustes correspondientes, conforme sea posible y no afectar el acuerdo firmado con el donante.

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No documents available.			

Effective**Quality Rating:**

17. Have targeted groups been engaged in the design of the project?

- 3: *Credible evidence that all targeted groups, prioritising discriminated and marginalized populations that will be involved in or affected by the project, have been actively engaged in the design of the project. The project has an explicit strategy to identify, engage and ensure the meaningful participation of target groups as stakeholders throughout the project, including through monitoring and decision-making (e.g., representation on the project board, inclusion in samples for evaluations, etc.)*
- 2: Some evidence that key targeted groups have been consulted in the design of the project.
- 1: No evidence of engagement with targeted groups during project design.
- Not Applicable

Evidence:

Los grupos objetivo del Proyecto participan desde el diseño e integran los mecanismos de gobernanza.

Los grupos objetivo han participado desde los procesos de consulta desde la fase de diseño del Proyecto y se tiene contemplado que participen en el seguimiento y las evaluaciones de medio término y final.

Ver secciones: Grupos Objetivo y Otros grupos potencialmente afectados del PRODOC (cargado en la pregunta 1).

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No documents available.			

18. Does the project plan for adaptation and course correction if regular monitoring activities, evaluation, and lessons learned demonstrate there are better approaches to achieve the intended results and/or circumstances change during implementation?

- Yes
- No

Evidence:

Se considera parte del proceso de Quality Assurance y que se realiza a lo largo del ciclo de proyecto. Asimismo, la Junta de Proyecto se considera una instancia para establecer medidas correctivas a presentarse a consideración del Comité Directivo Nacional. Como parte de la gestión del programa, se contemplan los mecanismos a seguir si durante la vida del proyecto se requieren realizar revisiones sustantivas y financieras las cuales deberán formularse colectivamente.

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No documents available.			

19. The gender marker for all project outputs are scored at GEN2 or GEN3, indicating that gender has been fully mainstreamed into all project outputs at a minimum.

- Yes
 No

Evidence:

Los resultados están orientados a la atención de las causas que provocan las inequidades entre hombres y mujeres y al cambio en los valores y relaciones de poder como factores determinantes de las desigualdades. Se estableció el indicador GEN2, tal como se muestra a lo largo del PRODOC, específicamente en la página 1 y con mayor detalle. PRODOC cargado en la pregunta 1.

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No documents available.			

Sustainability & National Ownership**Quality Rating:**

20. Have national / regional / global partners led, or proactively engaged in, the design of the project?

- 3: National partners (or regional/global partners for regional and global projects) have full ownership of the project and led the process of the development of the project jointly with UNDP.
- 2: *The project has been developed by UNDP in close consultation with national / regional / global partners.*
- 1: The project has been developed by UNDP with limited or no engagement with national partners.

Evidence:

Las instituciones socias a nivel nacional participaron en el diseño del proyecto, además, son coejecutoras y corresponsables en la operación y seguimiento. Como parte de sus funciones en los niveles de gobernanza del programa participan en la definición de las orientaciones estratégicas y de coordinación. Las municipalidades e instituciones emitieron cartas de avales técnicos y financieros para la ejecución del Proyecto. Como parte de la estrategia del Proyecto, se buscará la apropiación de las variables de desarrollo impulsadas por el programa por parte de las contrapartes nacionales y Socios de la Sociedad Civil.

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No documents available.			

21. Are key institutions and systems identified, and is there a strategy for strengthening specific / comprehensive capacities based on capacity assessments conducted?

- 3: *The project has a strategy for strengthening specific capacities of national institutions and/or actors based on a completed capacity assessment. This strategy includes an approach to regularly monitor national capacities using clear indicators and rigorous methods of data collection, and adjust the strategy to strengthen national capacities accordingly.*
- 2: A capacity assessment has been completed. There are plans to develop a strategy to strengthen specific capacities of national institutions and/or actors based on the results of the capacity assessment.
- 1: Capacity assessments have not been carried out.
- Not Applicable

Evidence:

Se aplicará la metodología PNUD para el desarrollo de capacidades: i) Involucramiento de las partes interesadas; 2) Identificación de brechas y diseño de la respuesta programática; 3) Implementación del modelo de fortalecimiento de capacidades; y, 4) Seguimiento, aprendizaje y readecuación. (Ver del PRODOC, documento cargado en la pregunta 1).

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No documents available.			

22. Is there is a clear strategy embedded in the project specifying how the project will use national systems (i.e., procurement, monitoring, evaluations, etc..) to the extent possible?

- Yes
 No
 Not Applicable

Evidence:

El Proyecto se implementa a través de las directrices y regulaciones DIM, y por ello no utiliza mecanismos de compras y adquisiciones nacionales. Sin embargo, si se vincula a procesos de monitoreo con los sistemas nacionales. Actores relevantes y socios juegan un rol activo en la toma de decisiones, implementación y monitoreo, pero no de forma directa. Ver página 12 de I PRODOC y anexo VIII. sobre arreglos de gestión. PRODOC cargado en pregunta 1.

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No documents available.			

23. Is there a clear transition arrangement / phase-out plan developed with key stakeholders in order to sustain or scale up results (including resource mobilisation and communications strategy)?

- Yes
 No

Evidence:

La estrategia de salida se fundamenta en la participación, el diálogo, la corresponsabilidad, cogestión, empoderamiento y el fortalecimiento de la sociedad civil organizada e instituciones. Ver PRODOC ampliado (documento cargado en la pregunta 1).

El más alto nivel dentro del modelo de gobernanza del programa (Comité Directivo Nacional -CDN-) incluye dentro de sus responsabilidades el seguimiento y la implementación de acciones claves para la sostenibilidad de las acciones incluidas en la estrategia de salida.

Asimismo, a nivel territorial el PNUD contribuirá para que los COCODE cuenten con una estrategia para movilizar recursos financieros e incentivos más allá del presupuesto nacional anual para ampliar oportunidades de financiamiento a favor de las propuestas para financiar iniciativas identificadas en los planes de vida comunitarios que les permiten acceder a recursos públicos, privados y otros de la cooperación internacional bilateral y multilateral.

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